AUG 1 1 2005

Group Art Unit: 1755

Examiner: Unknown



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 11288.00

Application of:

Gary A. Ross et al.

Serial No. 10/822,582

Filed: April 12, 2004

For:

**SECURITY LABELLING** 

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT C.F.R. §1.97(b)

Sir:

In accordance with 37 CFR §1.56, §1.97 and §1.98 with regard to the above-named application, please find enclosed a copy of the non-U.S. reference(s) listed on the enclosed Form PTO/SB/08, entitled "Information Disclosure Statement By Applicant".

The form identifies either (i) references cited in a foreign search report with regard to an application corresponding to the named U.S. application, and/or (ii) other references.

If applicable, a copy of the foreign search report is also enclosed herewith.

Respectfully submitted,

Michael Chan Reg. No. 33,663

NCR Corporation Dayton, Ohio 45479-0001 Tel. No. (937) 445-4956 Fax No. (937) 445-6794

## **CERTIFICATION OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on \_\_\_\_\_AU5 ] 7005 \_\_\_\_.

Name: Shirley Doll

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known			
Application Number	10/822,582		
Filing Date	April 12, 2004		
First Named Inventor	Gary A. Ross et al.		
Art Unit	1755		
Examiner Name	Unknown		
Attorney Docket Number	11200 00		

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number 11288.00

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 4,451,521	05-29-1984	Kaule et al.	
		U\$-			
		US-			
	· ···	US-			
······································		US-			
		US-			
		US-			
		US-			
***************************************		US-			
***************************************		US-			
		US-			, , , , , , , , , , , , , , , , , , , ,
·····		us-			
		US-			**************************************
		US-			
		US-			

FOREIGN PATENT DOCUMENTS								
Examiner Cit	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,			
Initials*	ials* No. <sup>1</sup> Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) MM-DD-YYYY A	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	٣				
		WO 03/105075 A	12-18-2003	Trustees of Boston U				
		WO 00/27645 A	05-18-2000	Kelsill Ltd				
		EP 1 117 060 A	07-18-2001	Sicpa Holding S.A.				
***************************************	<u> </u>	FR 2 556 867 A	06-21-1985	Jalon Michel				
	<b>†</b>				**************************************			

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 06/30/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO				Complete if Known		
				Application Number	10/822,582	
INFO	RMATION DI	SCLO	SURE	Filing Date	April 12, 2004	
STAT	STATEMENT BY APPLICANT			First Named Inventor	Gary A. Ross	
				Art Unit	1755	
(Use as many sheets as necessary)			)	Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	11288.00	

NON PATENT LITERATURE DOCUMENTS							
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		"Novel online security system based on rare-earth-doped glas microbeads", S. Officer et al., PROCEEDINGS OF THE SPIE - THE INTERNATIONAL SOCIETY FOR OPTICAL ENGINEERING SPIE-INT. SOC. OPT. ENG USA, vol. 5310, no. 1, 20 Jan 2004, pp 387-395, XP002323046					
		"Rare earth-doped glass microbarcodes", Matthew J. Dejneka et al., Science and Technology Division, Corning Incorporated, Corning, NY 14831, PNAS, 01/21/2003, vol. 100, no. 2, pp 389-393, www.pnas.org/cgi/doi/10.1073/pnas.0236044100					
***************************************	***************************************	PATENT ABSTRACTS OF JAPAN, vol. 2000, no. 24, 11 May 2001 & JP 2001 206959 A (TOPPAN PRINTING CO LTD), 31 Jul 2001					
***************************************	***************************************						
•••••	·····						
······································	······		ļ				
***************************************	······································		•••••				
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	)						
•••••			******				

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.